# Second Part: Residuated Lattices 

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## Notational preliminaries

A subset $F$ of a poset $\mathbf{P}$ is an order-filter of $\mathbf{P}$ if whenever $y \in P, x \in F$, and $x \leq y$, then $y \in F$.
For $A \subseteq P, \uparrow A=\{x \in P \mid a \leq x$, for some $a \in A\}$ is the order-filter generated by $A$. If $A=\{a\}, \uparrow a=\uparrow\{a\}$ is the principal order-filter generated by $a$. Order-ideals are defined dually.
$\perp_{\mathbf{p}}$ : the least element of a poset $\mathbf{P}$ (if it exists). $\top_{\mathbf{p}}$ : the greatest element. If $X \subseteq P, \bigvee_{\mathbf{P}} X$ and $\bigwedge_{\mathbf{P}} X$ are, respectively, the join (or least upper bound) and meet (or greatest lower bound) of $X$ in $\mathbf{P}$ whenever they exist. A map $\varphi: P \rightarrow Q$ between posets $\mathbf{P}$ and $\mathbf{Q}$ s.t. for all $x, y \in P$, if $x \leq y$ then $\varphi(x) \leq \varphi(y)$ is isotone or order-preserving. Anti-isotone or order-reversing maps are defined dually. The poset subscripts are omitted whenever there is no danger of confusion.

## Residuated maps

Let $\mathbf{P}$ and $\mathbf{Q}$ be posets. A map $\varphi: P \rightarrow Q$ is called residuated provided there exists a map $\varphi_{*}: Q \rightarrow P$ such that $\varphi(x) \leq y \Longleftrightarrow x \leq \varphi_{*}(y)$, for all $x \in P$ and $y \in Q$. We refer to $\varphi_{*}$ as the residual of $\varphi$.
A simple but useful result:

## Lemma

(1) If $\varphi: Q \rightarrow P$ is residuated with residual $\varphi_{*}$, then $\varphi$ preserves all existing joins in $\mathbf{P}$ and $\varphi_{*}$ preserves any existing meets in $\mathbf{Q}$.
(2) Conversely, if $\mathbf{P}$ is a complete lattice and $\varphi: \mathbf{P} \rightarrow \mathbf{Q}$ preserves all joins, then it is residuated.

## Example: Galois theory

Consider a field $\mathbf{F}$ together with a field extension $\mathbf{L} / \mathbf{F}$. Let:

- $S(\mathbf{L}, \mathbf{F})$ be the set of all subfields of $\mathbf{L}$ that contain $\mathbf{F}$;
- for $\mathbf{M} \in S(\mathbf{L}, \mathbf{F}), \mathbf{G a l}_{\mathbf{M}}(\mathbf{L})$ be the group of all field automorphisms $\varphi$ of $\mathbf{L}$ such that $\left.\varphi\right|_{M}=i d$;
- $\operatorname{Sg}\left(\mathbf{G a l}_{\mathbf{F}}(\mathbf{L})\right)$ be the set of all subgroups of such a group.

Then the maps

$$
\begin{aligned}
f(\mathbf{M}) & =\mathbf{G a l}_{\mathbf{M}}(\mathbf{L}) \\
f_{*}(\mathbf{H}) & =\{a \in L \mid \varphi(a)=a \text { for all } \varphi \in H\}
\end{aligned}
$$

induce a residuated pair $\left(f, f_{*}\right)$ between $\mathbf{P}=(S(\mathbf{L}, \mathbf{F}), \subseteq)$ and the order dual $\mathbf{Q}^{\partial}$ of $\mathbf{Q}=\left(\operatorname{Sg}\left(\mathbf{G a l}_{\mathbf{F}}(\mathbf{L})\right), \subseteq\right)$.

## Residuated operations

A binary operation • on a poset $\mathbf{P}=(P, \leq)$ is residuated if there exist binary operations $\backslash$ and $/$ on $P$ such that for all $x, y, z \in P$,

$$
x \cdot y \leq z \text { iff } x \leq z / y \text { iff } y \leq x \backslash z
$$

Observe:
(1) - is residuated if and only if, for all $a \in P$, the maps $x \mapsto a x(x \in P)$ and $x \mapsto x a(x \in P)$ are residuated in the sense of the preceding definition. Their residuals are the maps $y \mapsto a \backslash y(y \in P)$ and $y \mapsto y / a(y \in P)$, respectively. $\backslash$ and / are the right residual and the left residual of $\cdot$, respectively.
(2) . is residuated if and only if it is order-preserving in each argument and, for all $x, y \in P$, the sets $\{z \mid x \cdot z \leq y\}$ and $\{z \mid z \cdot x \leq y\}$ both contain greatest elements, $x \backslash y$ and $y / x$, respectively.
(3) and $\leq$ uniquely determine $\backslash$ and $/$.

## Terminology and notational conventions

We write $x y$ for $x \cdot y, x^{2}$ for $x x$ and adopt the convention that, in the absence of parentheses, $\cdot$ is performed first, followed by $\backslash$ and /, and finally by $\wedge$ and $\vee$.
The residuals may be viewed as generalized division operations, with $x / y$ being read as " $x$ over $y$ " and $y \backslash x$ as " $y$ under $x$ ". In either case, $x$ is considered the numerator and $y$ is the denominator.
They can also be viewed as generalized implication operators, with $x / y$ being read as " $x$ if $y$ " and $y \backslash x$ as "if $y$ then $x$ ". In either case, $x$ is considered the consequent and $y$ is the antecedent.
Any statement about residuated structures has a "mirror image" obtained by reading terms backwards (i.e., replacing $x \cdot y$ by $y \cdot x$ and interchanging $x / y$ with $y \backslash x)$.

## Preservation of meets and joins

## Lemma

Let • be a residuated operation on a poset $\mathbf{P}$ with residuals $\backslash$ and /.
(1) - preserves all existing joins in each argument; i.e., if $\bigvee X$ and $\bigvee Y$ exist for $X, Y \subseteq A$, then $\bigvee_{x \in X, y \in Y}(x y)$ exists and

$$
(\bigvee X)(\bigvee Y)=\bigvee_{x \in X, y \in Y}(x y)
$$

(2) and / preserve all existing meets in the numerator, and convert existing joins to meets in the denominator, i.e. if $\bigvee X$ and $\wedge Y$ exist for $X, Y \subseteq A$, then for any $z \in A, \bigwedge_{x \in X}(x \backslash z)$ and $\bigwedge_{y \in Y}(z \backslash y)$ exist and

$$
(\bigvee X) \backslash z=\bigwedge_{x \in X}(x \backslash z) \text { and } z \backslash(\bigwedge Y)=\bigwedge_{y \in Y}(z \backslash y)
$$

## Residuated lattices

A residuated lattice is an algebra

$$
\mathbf{L}=(L, \wedge, \vee, \cdot, \backslash, /, 1)
$$

such that:
(1) $(L, \wedge, V)$ is a lattice;
(2) $(L, \cdot, 1)$ is a monoid;
(3) - is residuated, in the underlying partial order, with residuals $\backslash, /$.

An $F L$-algebra $\mathbf{L}=(L, \wedge, \vee, \cdot, \backslash, /, 1,0)$ is an algebra s.t. $(L, \wedge, \vee, \cdot, \backslash, /, 1)$ is a residuated lattice and 0 is a distinguished element (nullary operation) of $L$.
We use the symbols $\mathcal{R} \mathcal{L}$ and $\mathcal{F} \mathcal{L}$ to denote the class of all residuated lattices and FL-algebras respectively.

## RL and FL are varieties

## Proposition

$\mathcal{R} \mathcal{L}$ and $\mathcal{F} \mathcal{L}$ are finitely based varieties in their respective languages: the residuation condition (3) can be replaced by the following equations (and their mirror images):
(i) $y \leq x \backslash(x y \vee z)$
(ii) $x(y \vee z) \approx x y \vee x z$
(iii) $y(y \backslash x) \leq x$

## Commutative residuated lattices

Two varieties of particular interest are the variety $\mathcal{C} \mathcal{R} \mathcal{L}$ of commutative residuated lattices and the variety $\mathcal{C \mathcal { F }} \mathcal{L}$ of commutative FL-algebras. These varieties satisfy the equation $x y \approx y x$, and hence the equation $x \backslash y \approx y / x$. Here, $\rightarrow$ can denote both $\backslash$ and /.
We always think of these varieties as subvarieties of $\mathcal{R} \mathcal{L}$ and $\mathcal{F} \mathcal{L}$, respectively, but we slightly abuse notation by listing only one occurrence of the operation $\rightarrow$ in describing their members.

## Example: Boolean algebras and Heyting algebras

## Example

The variety of Boolean algebras is term-equivalent to the subvariety $\mathcal{B A}$ of $\mathcal{C} \mathcal{F} \mathcal{L}$ satisfying the additional equations $x y \approx x \wedge y,(x \rightarrow y) \rightarrow y \approx x \vee y$, and $x \wedge 0 \approx 0$. More specifically, every Boolean algebra $\mathbf{B}=(B, \wedge, \vee, \neg, 1,0)$ satisfies the equations above with respect to $\wedge, \vee, 0$, and Boolean implication $x \rightarrow y=\neg x \vee y$. Conversely, if a (commutative) residuated lattice $\mathbf{L}$ satisfies these equations and we define $\neg x=x \rightarrow 0$, then $(L, \wedge, \vee, \neg, 1,0)$ is a Boolean algebra.
Likewise, the variety of Heyting algebras is term-equivalent to the subvariety $\mathcal{H} \mathcal{A}$ of $\mathcal{C} \mathcal{F} \mathcal{L}$ satisfying the additional equations $x y \approx x \wedge y$ and $x \wedge 0 \approx 0$.

## Example: Ideals of rings

## Example

Let $\mathbf{R}$ be a ring with unit and let $I(\mathbf{R})$ denote the set of two-sided ideals of $\mathbf{R}$. Then $\mathbf{I}(\mathbf{R})=(I(\mathbf{R}), \cap, \vee, \cdot, \backslash, /, R,\{0\})$ is a (not necessarily commutative) FL-algebra, where, for $I, J \in I(\mathbf{R})$,

$$
\begin{gathered}
I J=\left\{\sum_{k=1}^{n} a_{k} b_{k}: a_{k} \in I ; b_{k} \in J ; n \geq 1\right\} ; \\
I \backslash J=\{x \in R: I x \subseteq J\} ; \text { and } \\
J / I=\{x \in R: x I \subseteq J\} .
\end{gathered}
$$

## Example: Lattice-ordered groups

## Example

A lattice-ordered group ( $\ell$-group) is an algebra $\mathbf{G}=\left(G, \wedge, \vee, \cdot,{ }^{-1}, 1\right)$ such that (i) $(G, \wedge, \vee)$ is a lattice; (ii) $\left(G, \cdot,^{-1}, 1\right)$ is a group; and (iii) multiplication is order-preserving in each argument. The variety of $\ell$-groups is term-equivalent to the subvariety $\mathcal{L G}$ of $\mathcal{R} \mathcal{L}$ defined by the additional equation $(1 / x) x \approx 1$.
More specifically, if $\mathbf{G}=\left(G, \wedge, \vee, \cdot,,^{-1}, 1\right)$ is an $\ell$-group and we define $x / y=x y^{-1}, y \backslash x=y^{-1} x$, then $\mathbf{G}=(G, \wedge, \vee, \cdot, \backslash, /, 1)$ is a residuated lattice satisfying the equation $(1 / x) x \approx 1$. Conversely, if a residuated lattice $\mathbf{L}=(L, \wedge, \vee, \cdot, \backslash, /, 1)$ satisfies the last equation and we define $x^{-1}=1 / x$, then $\mathbf{L}=\left(L, \wedge, \vee, \cdot,{ }^{-1}, 1\right)$ is an $\ell$-group. Moreover, this correspondence is bijective.

## Example: MV algebras

## Example

MV-algebras are the algebraic counterparts of the infinite-valued Łukasiewicz propositional logic. An MV-algebra is traditionally defined as an algebra $\mathbf{M}=(M, \oplus, \neg, 0)$ of language $(2,1,0)$ that satisfies the following equations:
(MV1) $\quad x \oplus(y \oplus z) \approx(x \oplus y) \oplus z$
(MV2) $\quad x \oplus y \approx y \oplus x$
(MV3) $\quad x \oplus 0 \approx x$
(MV4) $\neg \neg x \approx x$
(MV5) $\quad x \oplus \neg 0 \approx \neg 0$
(MV6) $\quad \neg(\neg x \oplus y) \oplus y \approx \neg(\neg y \oplus x) \oplus x$
The variety of MV-algebras is term-equivalent to the subvariety, $\mathcal{M V}$, of $\mathcal{C} \mathcal{F} \mathcal{L}$ satisfying the extra equations $x \vee y \approx(x \rightarrow y) \rightarrow y$ and $x \wedge 0 \approx 0$.

## Example: Semilinear residuated lattices

## Example

Many varieties of ordered algebras arising in logic - including Boolean algebras, Abelian $\ell$-groups, and MV-algebras, but not Heyting algebras and $\ell$-groups - are semilinear, that is, generated by their totally ordered members. An equational basis for the variety $\operatorname{Sem} \mathcal{R} \mathcal{L}$ of semilinear residuated lattices relative to $\mathcal{R} \mathcal{L}$ consists of the equation

$$
\lambda_{z}(x /(x \vee y)) \vee \rho_{w}(y /(x \vee y)) \approx 1
$$

where $\rho_{w}(x)=w x / w \wedge 1, \lambda_{z}(x)=z \backslash x z \wedge 1$.
A simplified equational basis for the variety $\mathcal{C} \mathcal{S e m} \mathcal{R} \mathcal{L}$ of commutative semilinear residuated lattices relative to $\mathcal{C} \mathcal{R} \mathcal{L}$ consists of the equations

$$
[(x \rightarrow y) \vee(y \rightarrow x)] \wedge 1 \approx 1 \quad \text { and } \quad 1 \wedge(x \vee y) \approx(1 \wedge x) \vee(1 \wedge y)
$$

## Historical note

- Morgan Ward and his student R.P. Dilworth, in a series of papers from the late 1930's, introduced under the name of residuated lattices some lattice-ordered structures with a multiplication abstracted from ideal multiplication, and with a residuation abstracted from ideal residuation.
- Ward and Dilworth's papers did not have that much immediate impact. The notion of residuated lattice re-emerged later in the different contexts of the semantics for fuzzy logics (P. Hàjek) and substructural logics (H. Ono), and in the setting of studies with a more pronounced algebraic flavor (K. Blount, C. Tsinakis), with the latter two streams eventually converging into a single one.


## Historical note

- Neither Hájek's nor Ono's, nor our official definition of residuated lattice (essentially due to Blount and Tsinakis), exactly overlaps with the original definition given by Ward and Dilworth. The Hájek-Ono residuated lattices are bounded as lattices and integral as partially ordered monoids. Moreover, multiplication is commutative. Residuated lattices as defined here need not be bounded or integral; multiplication is not required to be commutative. The original Ward-Dilworth residuated lattices lie somewhere in between: the existence of a top or bottom element is not assumed, but if there is a top, then it must be the neutral element of multiplication, which is supposed to be a commutative operation.
- Dilworth also introduced a noncommutative variant of his notion of residuated lattice, abstracted from the residuated lattice of two-sided ideals of a noncommutative ring, but to the best of our knowledge this generalization was not taken up again before the work by Tsinakis and his collaborators.


## RL is an ideal variety

The congruences of residuated lattices are determined by special subsets of their universes (like for groups or rings). In particular, $\mathcal{R} \mathcal{L}$, and hence $\mathcal{F} \mathcal{L}$, is a 1-regular variety: each congruence of an algebra in $\mathcal{R} \mathcal{L}$ is determined by its equivalence class of 1 . A more economical proof of 1-regularity for $\mathcal{R} \mathcal{L}$ can be given by observing that this property is a Mal'cev property: one can establish if a variety has the property by checking whether it satisfies certain quasi-equations involving finitely many terms (two, in this special case).
However, a concrete description of these equivalence classes is essential for developing the structure theory of residuated lattices and its applications to substructural logics.

## Some terminology

If $\mathbf{L}$ is a residuated lattice, the set $L^{-}=\{a \in L \mid a \leq 1\}$ is called the negative cone of $\mathbf{L}$. Note that the negative cone is a submonoid of $(L, \cdot, 1)$. As such, we will denote it by $\mathbf{L}^{-}$.
Let $\mathbf{L} \in \mathcal{R} \mathcal{L}$. Recall that for each $a \in L, \rho_{a}(x)=a x / a \wedge 1$ and $\lambda_{a}(x)=a \backslash x a \wedge 1$. We refer to $\rho_{a}$ and $\lambda_{a}$ respectively as right and left conjugation by a. An iterated conjugation map is a finite composition of right and left conjugation maps.
A subset $X \subseteq L$ is convex if for any $x, y \in X$ and $a \in L, x \leq a \leq y$ implies $a \in X ; X$ is normal if it is closed with respect to all iterated conjugations.

## Two useful lemmas

## Lemma

Let $\mathbf{L}$ be a residuated lattice and $\Theta \in \operatorname{Con}(\mathbf{L})$. T.f.a.e.:
(1) $a \Theta b$
(2) $[a / b \wedge 1] \Theta 1$ and $[b / a \wedge 1] \Theta 1$
(3) $[a \backslash b \wedge 1] \Theta 1$ and $[b \backslash a \wedge 1] \Theta 1$

## Lemma

Suppose that $\mathbf{H}$ is a convex normal subalgebra of $\mathbf{L}$. For any $a, b \in L$,

$$
a / b \wedge 1 \in H \Leftrightarrow b \backslash a \wedge 1 \in H
$$

## From congruences to convex normal subalgebras

## Lemma

Let $\Theta$ be a congruence on a residuated lattice $\mathbf{L}$. Then $[1]_{\Theta}=\{a \in A \mid a \Theta 1\}$ is a convex normal subalgebra of $\mathbf{L}$.

## Proof.

Since 1 is idempotent with respect to all the binary operations of $\mathbf{L},[1]_{\Theta}$ forms a subalgebra of $\mathbf{L}$. Convexity is a consequence of the fact that the equivalence classes of any lattice congruence are convex. Finally, let $a \in[1]_{\Theta}$ and $c \in L$. Then

$$
\lambda_{c}(a)=c \backslash a c \wedge 1 \Theta c \backslash 1 c \wedge 1=c \backslash c \wedge 1=1
$$

so that $\lambda_{c}(a) \in[1]_{\Theta}$. Similarly, $\rho_{c}(a) \in[1]_{\Theta}$.

## From convex normal subalgebras to congruences (1)

## Lemma

Let $\mathbf{H}$ be a convex normal subalgebra of a residuated lattice $\mathbf{L}$. Then the following is a congruence on $\mathbf{L}$ :

$$
\begin{aligned}
\Theta_{\mathbf{H}} & =\{(a, b) \mid \exists h \in H, h a \leq b \text { and } h b \leq a\} \\
& =\{(a, b) \mid a / b \wedge 1 \in H \text { and } b / a \wedge 1 \in H\} \\
& =\{(a, b) \mid a \backslash b \wedge 1 \in H \text { and } b \backslash a \wedge 1 \in H\}
\end{aligned}
$$

## Proof.

The second and third set are equal by the useful Lemma. If $(a, b)$ is a member of the second set, letting $h=a / b \wedge b / a \wedge 1$, we have $h \in H$, $h a \leq(b / a) a \leq b$ and $h b \leq(a / b) b \leq a$, so $(a, b)$ is a member of the first set. Conversely, if $(a, b)$ is a member of the first set, for some $h \in H$ we have $h a \leq b$ or $h \leq b / a$, whence $h \wedge 1 \leq b / a \wedge 1 \leq 1$. By convexity, we get $b / a \wedge 1 \in H$. Similarly, $a / b \wedge 1 \in H$.

## From convex normal subalgebras to congruences (2)

## Proof.

(continued) It is a simple matter to verify that $\Theta_{\mathbf{H}}$ is an equivalence. To prove that it is a congruence, we must establish its compatibility with respect to multiplication, meet, join, right division, and left division. We just verify compatibility for multiplication. Suppose that $a \Theta_{\mathbf{H}} b$ and $c \in L$. Then

$$
a / b \wedge 1 \leq a c / b c \wedge 1 \leq 1
$$

so $a c / b c \wedge 1 \in H$. Similarly, $b c / a c \wedge 1 \in H$ so $(a c) \Theta_{\mathbf{H}}(b c)$. Next, using the normality of $\mathbf{H}$,

$$
\rho_{c}(a / b \wedge 1)=(c[a / b \wedge 1] / c) \wedge 1 \in H
$$

But
$\rho_{c}(a / b \wedge 1) \leq[c(a / b) / c] \wedge 1 \leq(c a / b) / c \wedge 1=c a / c b \wedge 1 \leq 1 \in H$ so $c a / c b \wedge 1 \in H$. Similarly, $c b / c a \wedge 1 \in H$ so $(c a) \Theta_{\mathbf{H}}(c b)$.

## The bijective correspondence (1)

## Theorem

The lattice $C N(\mathbf{L})$ of convex normal subalgebras of a residuated lattice $\mathbf{L}$ is isomorphic to its congruence lattice Con ( $\mathbf{L}$ ). The isomorphism is given by the mutually inverse maps $\mathbf{H} \mapsto \Theta_{\mathbf{H}}$ and $\Theta \mapsto[1]_{\Theta}$.

## Proof.

We have shown both that $\Theta_{\mathbf{H}}$ is a congruence and that $[1]_{\Theta}$ is a member of $C N(\mathbf{L})$, and it is clear that the maps $\mathbf{H} \mapsto \Theta_{\mathbf{H}}$ and $\Theta \mapsto[1]_{\Theta}$ are isotone. It remains only to show that these two maps are mutually inverse, since it will then follow that they are lattice homomorphisms.

## The bijective correspondence (2)

## Proof.

(continued) Given $\Theta \in \operatorname{Con}(\mathbf{L})$, set $H=[1]_{\Theta}$; we must show that $\Theta=\Theta_{\mathbf{H}}$. But this is easy; using the above Lemma,

$$
\begin{gathered}
a \Theta b \Leftrightarrow(a / b \wedge 1) \Theta 1 \text { and }(b / a \wedge 1) \Theta 1 \Leftrightarrow \\
a / b \wedge 1 \in H \text { and } b / a \wedge 1 \in H \Leftrightarrow a \Theta_{\mathbf{H}} b
\end{gathered}
$$

Conversely, for any $\mathbf{H} \in C N(\mathbf{L})$ we must show that $H=[1]_{\Theta_{\mathbf{H}}}$. But

$$
h \in H \Rightarrow h / 1 \wedge 1 \in H \text { and } 1 / h \wedge 1 \in H
$$

so $h \in[1]_{\Theta_{\mathbf{H}}}$. If $a \in[1]_{\Theta_{\boldsymbol{H}}}$, then $(a, 1) \in \Theta_{\mathbf{H}}$ and we use the first description of $\Theta_{\mathbf{H}}$ above to conclude that there exists some $h \in H$ such that $h a \leq 1$ and $h=h 1 \leq a$. Now it follows from the convexity of $\mathbf{H}$ that $h \leq a \leq h \backslash 1$ implies $a \in H$.

## The commutative case

We remark that in the event that $\mathbf{L}$ is commutative, then every convex subalgebra of $\mathbf{L}$ is normal. Thus the preceding theorem implies the following result:

## Corollary

The lattice $\mathcal{C}(\mathbf{L})$ of convex subalgebras of a commutative residuated lattice $\mathbf{L}$ is isomorphic to its congruence lattice $\operatorname{Con}(\mathbf{L})$. The isomorphism is given by the mutually inverse maps $\mathbf{H} \mapsto \Theta_{\mathbf{H}}$ and $\Theta \mapsto[1]_{\Theta}$.

